PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/888,128 TRANSMITTAL June 22, 2001 Filing Date **FORM** First Named Inventor Morris E. Jones, Jr. <u> 1111 | 0 8 2004</u> (to be used for all correspondence after initial filing) Art Unit 2672 Technology Center 2600 Chante E. Harrison **Examiner Name** CT-P9191-D Total Number of Pages in This Submission 25 Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form ☐ Drawing(s) Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Petition Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): **Request for Continued** Request for Refund **Examination (RCE)** Express Abandonment Request CD, Number of CD(s) ___ **Limited Recognition Under 37** CFR §10.9(b) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Signature

Date

1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Masako Ando, Limited Recognition Under 37 CFR §10.9(b)

Limited Recognition Under 37 CFR §10.9(b)

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Alexandria, VA 22313-14:	on the date shown below.			
Typed or printed name	Carol Diez	,		
Signature	antere		Date	6/29/04

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PTO/SB/17 (10-03)
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FEE	TR	AN	SM	ITT	AL
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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	Complete if Knowr	
Application Number	09/888,128	RECEIVED
Filing Date	June 22, 2001	
First Named Inventor	Morris E. Jones et al.	<u> </u>
Examiner Name	Chante E. Harrison	
Art Unit	2672	Technology Center 260
Attorney Docket No.	CT-P9191-D	

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
		3. ADDITIONAL FEES						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ Order ☐ Deposit Account:		Large Entity Small Entity						
Deposit	urit.		Fee Code	Fee (\$)	Fee Code	Fee (\$)		e Paid
Account	50-1698		1051	130	2051	65	Surcharge - late filing fee or oath	
Number					2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification	
Account	Thelen Reid & Priest, LLP		1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Director is	authorized to: (check all that apply)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) ☐ Charge any a	indicated below		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	indicated below, except for the filing fee		1251	110	2251	55	Extension for reply within first month	
to the above-ider	tified deposit account. FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1 DAGIC			1253	950	2253	475	Extension for reply within third month	$\neg \neg \mid !$
Large Entity	FILING FEE Small Entity		1254	1,480	2254	740	Extension for reply within fourth	7
Fee Fee	Fee Fee <u>Fee Description</u>	Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
Code (\$)		ree raiu	1401	330	2401	165	Notice of Appeal	
1001 770	2001 385 Utility filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1002 340	2002 170 Design filing fee		1403	290	2403	145	Request for oral hearing	$\neg \neg \mid \vdash$
1003 530 1004 770	2003 265 Plant filing fee 2004 385 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee		1452	110	2452	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 0		1453	1,330	2453	665	Petition to revive – unintentional		
ĺ	SUBTOTAL (1)	(3) 0	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CL	AIM FEES FOR UTILITY AND REISSI	UE	1502	480	2502	240	Design issue fee	
	Extra Fee from	Fee	1503	640	2503	320	Plant issue fee	
L	Claims below	Paid	1460	130	1460	130	Petitions to the Commissioner	
Total Claims 33	-31 ** = 2 X 18 =	= 36	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims 6	-6 ** = 0 X 86 =	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	x ====================================	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Small Entity Fee Fee Description		1809	770	2809	385	Filing a submission after final rejection	-
Code (\$)	Code (\$)		1010	770	2810	385	(37 CFR § 1.129(a)) For each additional invention to be	
1202 18 1201 86	2202 9 Claims in excess of 20 2201 43 Independent claims in ex	excess of 3	1810	′′′	2010	300	examined (37 CFR § 1.129(b))	
1203 290	2203 145 Multiple dependent claim		1801	770	2801	385	Request for Continued Examination (RCE)	770
1204 86	2204 43 original patent		1802	900	1802	900	Request for expedited examination of a design application	
1205 18	2205 9 ** Reissue claims in exce over original patent	ess of 20 and					-	
	SUPTOTAL (S)		Other fe	e (speci	fy)			
SUBTOTAL (2) (\$) 36			*Reduc	ed by Ba	asic Filing	Fee Pa	aid SUBTOTAL (3) (\$) 770	
**or number pre	viously paid, if greater: For Reissues, see above				•			

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Masako Ando	Registration No. (Attorney/Agent)	LR37CFR10.9b	Telephone	(408) 292-5800	
Signature	march	and		Date	6/29/04	

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Masako Ando is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Thelen, Reid & Priest LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Thelen, Reid & Priest LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Thelen, Reid & Priest LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Masako Ando ceases to lawfully reside in the United States, (ii) Masako Ando ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: August 27, 2004

Harry I. Moatz

Director of Enrollment and Discipline

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